SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

King Mason (Last) 11440 TOMAH	(First)	(Middle) K PKWY] e of Earliest Transa 2/2020	ction (Month/	Day/Year)	X	Director Officer (give title below)	10% C Other below	(specify		
(Street) LEAWOOD (City)	KS (State)	66211 (Zip)	4. If Ai	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Grou Form filed by On Form filed by Mo Person	e Reporting Per	son		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da				2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

		(Month/Day/Year)	th/Day/Year) 8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	05/12/2020		A		7 , 612 ⁽¹⁾	A	\$ <mark>0</mark>	67,735 ⁽²⁾	D	
Common Stock								1,045,616 ⁽³⁾	I	See Footnote

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-		-		-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	Amount of		Amount of Securities Security Underlying (Instr. 5) Derivative Security (Instr.		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents an award of phantom stock granted pursuant to the Director's Deferred Fee Plan. Shares of phantom stock become payable in common stock upon termination of service as a director.

2. Includes 5,374 shares of phantom stock previously reported pursuant to the Directors Deferred Fee Plan.

3. Consists of (i) 405,264 shares held by Luther King Capital Management Corporation to which Mr. King is a Principal, Vice President, Portfolio Manager and Analyst, and (ii) 640,352 shares held by LKCM Private Discipline Master Fund, SPC, which Mr. King is a limited partner. Mr. King has shared voting and investment power with respect to all such shares.

Remarks:

/s/ Aisha Reynolds, Attorney-05/13/2020 in-Fact for Mason King

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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