SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
bligations may continue. See
nstruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* Fauss Amy	2. Issuer Name and Ticker or Trading Symbol <u>CROSSFIRST BANKSHARES, INC.</u> [CFB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below)
(Last) (First) (Middle) 11440 TOMAHAWK CREEK PKWY	3. Date of Earliest Transaction (Month/Day/Year) 12/31/2019	Chief Operating Officer
(Street) LEAWOOD KS 66211	4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City) (State) (Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/31/2019		М		10,000(1)	Α	\$ <mark>0</mark>	10,000	D	
Common Stock	12/31/2019		F		2,935 ⁽²⁾	D	\$14.42	7,065	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	\$0.0 ⁽³⁾	12/31/2019		М			10,000 ⁽¹⁾	12/31/2019	12/31/2019	Common Stock	10,000	\$0	0 ⁽⁴⁾	D	

Explanation of Responses:

1. Reflects the vesting of a prior restricted stock unit grant, which was previously reported on a Form 3.

2. These shares represent the number of shares of common stock withheld by the Company to satisfy tax withholding requirements in connection with the vesting of the restricted stock units.

3. Each restricted stock unit represents a contingent right to receive one share of common stock.

4. The reporting person is the holder of other Restricted Stock Units as follows: 8,000 restricted stock units that are scheduled to vest on December 31, 2020 and 3,690 restricted stock units that are scheduled to vest as follows: 1/3 of the units vest on February 28, 2020, 1/3 of the units vest on February 28, 2021, and 1/3 of the units vest on February 28, 2022. Ms. Fauss also has Stock Settled Appreciation Rights as previously reported on a Form 3.

Remarks:

/s/ Aisha Reynolds, Attorneyin-Fact for Amy Fauss

01/03/2020

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.